

## **CUSC - EXHIBIT I**

### **THE CONNECTION AND USE OF SYSTEM CODE MODIFICATION APPLICATION**

## MODIFICATION APPLICATION – NOTES

**Please study the following notes before completing and signing this application form.**

Please note that certain expressions which are used in this application form are defined in the Interpretation and Definitions (contained in Section 11 of the **CUSC**) and when this occurs the expressions have capital letters at the beginning of each word and are in bold. If the **Applicant** has any queries regarding this application or any related matters then the **Applicant** is recommended to contact **The Company** where our staff will be pleased to help.

1. **The Company** requires the information requested in this application form for the purposes of preparing an offer (the “**Offer**”) of a **Construction Agreement** for the construction of a proposed **Modification** and for the variation of the existing [**Bilateral Connection Agreement** or **Bilateral Embedded Generation Agreement** or **Construction Agreement**] affected by the **Modification**. It is essential that the **Applicant** should supply all information requested in this application form and that every effort should be made to ensure that such information is accurate.
2. Where **The Company** considers that any information provided by the **Applicant** is incomplete or unclear, or further information is required, the **Applicant** will be requested to provide further information or clarification. The provision/clarification of this information may impact on **The Company’s** ability to commence preparation of an **Offer**.
3. Should there be any change in the information provided by the **Applicant** then the **Applicant** should immediately inform **The Company** of such a change. Where this is a change in the information provided for Sections B to D then the **Applicant** should contact **The Company** to see if such a change can be accommodated as it is unlikely that material changes could be accommodated. If **The Company** cannot accommodate such a change bearing in mind the timescales within which the **Offer** must be made then the application will be processed on the original information although it is open to the **Applicant** to withdraw the application.
4. **The Company** shall charge the **Applicant**, and the **Applicant** shall pay to **The Company**, **The Company’s** engineering charges in relation to the application. A fee will be charged by **The Company** in accordance with the **Charging Statements**. No application will be considered until such payment has been received.
5. The effective date upon which the application is made shall be the later of the date when **The Company** has received the application fee pursuant to paragraph 4 above or the date when **The Company** is reasonably satisfied that the **Applicant** has completed Sections A-D. **The Company** shall notify the **Applicant** of such date.

6. **The Company** will make the **Offer** in accordance with the terms of Paragraphs 6.9 (Modification) and 6.10 (**Modifications and New Connection Sites**) of the **CUSC** and the **ESO Licence**.
7. **The Company** will make an **Offer** as soon as is reasonably practicable and, in any event, within three (3) months of the effective date of the application or such later period as the **Authority** may agree. The **Offer** may, where it is necessary to carry out additional extensive system studies to evaluate more fully the impact of the proposed development, indicate the areas that require more detailed analysis. Before such additional studies are required, the **Applicant** shall indicate whether it wishes **The Company** to undertake the work necessary to proceed to make a revised **Offer** within the three (3) month period or, where relevant, the timescale consented to by the **Authority**. To enable **The Company** to carry out any of the above mentioned necessary detailed system studies the **Applicant** may, at the request of **The Company**, be required to provide some or all of the **Detailed Planning Data** listed in Part 2 of the Appendix to the **Planning Code** which is part of the **Grid Code**.
8. In the course of processing the application, it may be necessary for **The Company** to consult the appropriate **Public Distribution System Operator(s)** on matters of technical compatibility of the **National Electricity Transmission System** with their **Distribution System(s)** or to consult the **Relevant Transmission Licensees** to establish the works required on the **National Electricity Transmission System**. On grounds of commercial confidentiality, **The Company** shall need authorisation for the release to the **Public Distribution System Operator(s)** or **Relevant Transmission Licensees** of certain information contained in your application. Any costs incurred by **The Company** in consulting the **Public Distribution System Operator(s)** or **Relevant Transmission Licensees** would be included in **The Company** charges for the application. If it is found by the **Public Distribution System Operator(s)** that any work is required on their **Distribution System(s)**, then it will be for the **Public Distribution System Operator(s)** and the **Applicant** to reach agreement in accordance with Paragraph 6.10.3 of the **CUSC**.
9. In accordance with Paragraph 6.30.3 of **CUSC**, **The Company** will need to disclose details of any agreement to vary **Bilateral Agreements** and shall need authorisation from the **Applicant** in respect of this.
10. Data submitted pursuant to this application shall be deemed submitted pursuant to the **Grid Code**.
11. **The Company's Offer** will, to the extent appropriate, be based upon its standard form terms of **Modification Offer** and the statement of charges issued by **The Company** under conditions E10 and E11 of the **ESO Licence**. The **Applicant** should bear in mind **The Company's** standard form terms of **Offer** when making this application.

12. As provided for in **Grid Code** CC 8.1, Generators and Dc Converter Station owners should appreciate that they will be required to perform **Mandatory Ancillary Services** to ensure that System Operational Standards can be achieved. This requirement may have implications towards **Plant** specification. You should be satisfied that before an application is made that your intended **Plant** design can meet the requirements. **Applicants** are recommended to contact **The Company**<sup>1</sup> where our staff will be pleased to help.
13. **The Applicant** has the ability to pay a fixed price application fee in respect of their application or pay the actual costs incurred (variable price application fee). The fixed price application fee is derived from analysis of historical costs of similar applications. The variable price application fee is based on an advance of the Transmission Licensee's Engineering and out of pocket expenses and will vary according to the size of the scheme and the amount of work involved. **The Applicant** is requested to indicate their preferred basis of application fee in Section A question 4. **The Applicant** is advised that further information can be obtained from the **Charging Statements** which can be found on **The Company's Website**.
14. Please complete this application form in black print and return it duly signed to **The Company**. In addition to returning the application to the Customer Services Manager an electronic form may be e-mailed to **The Company**.
15. For the most up to date contact details applicants are advised to visit **The Company's Website**.

**SECTION A. DETAILS OF APPLICANT (in respect of this application)**

**1. Registered Company**

Name:.....

Address (of Registered Office in the case of a Company):

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Company Number:.....

Parent Company Name (if applicable):.....

**2. Company Secretary or person to receive CUSC notices**

Name:.....

Email:.....

Telephone:.....

**3. Commercial Contact/Agent (person to receive Offer if different from Company Secretary or person to receive CUSC notices as identified in 2 above)**

Name:.....

Title:.....

Address:.....

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Email:.....

Telephone:.....

4. Please identify which application fee basis you wish to use for this application.

Fixed price application fee [ ]

Variable price application fee [ ]

5. Please confirm whether you agree to us sending the **Offer** in electronic form instead of hard copy and, if so, confirm the address for this as follows.

Yes [ ]

No [ ]

Email address .....

**SECTION B THE CONNECTION SITE [AND, IN THE CASE OF A USER UNDERTAKING OTSDUW, THE TRANSMISSION INTERFACE SITE] TO BE MODIFIED**

1. Please provide agreement reference number.

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2. Please identify by name the **Connection Site** [and, in the case of a **User** undertaking **OTSDUW**, the **Transmission Interface Site**] at which the **Modification** is to be undertaken.

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3. Give details of the rights in any additional land which you are proposing to acquire at the **Connection Site** [or, in the case of a **User** undertaking **OTSDUW**, the **Transmission Interface Site**] (to include leasehold and freehold interests and in the case of **Connection Sites** [or, in the case of a **User** undertaking **OTSDUW**, the **Transmission Interface Site**] in Scotland legal interests and heritable or leasehold interests including servitudes or other real rights) so as to undertake the **Modification**).

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4. Is space available on the **Connection Site** [or, in the case of a **User** undertaking **OTSDUW**, the **Transmission Interface Site**] for working storage and accommodation areas for the contractors of the **Relevant Transmission Licensees**? If so, please indicate by reference to a plan the location of such areas, giving the approximate dimensions of the same.

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5. Please provide details (including copies of any surveys or reports) of the physical nature of any additional land the subject to your answer to Question 2 above including the nature of the ground and the sub-soil.

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6. Please give details and provide copies of all existing relevant planning and other consents (statutory or otherwise) held by you relating to the **Connection Site** [and/or, in the case of a **User** undertaking **OTSDUW**, the **Transmission Interface Site**] or the **Modification** and/or details of any pending applications for the same.

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- 7. Please indicate what, if any, of the necessary construction works necessary for the **Modification** you would like **The Company** to conduct upon your behalf.

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## SECTION C. TECHNICAL INFORMATION

1. Summary of Application (brief description of plant to be connected):  
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.....  
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2. Please provide full details of the proposed **Modification** together with the relevant **Standard Planning Data** as listed in Part 1 of the Appendix to the **Planning Code** to the extent that the data will change from previously submitted Committed Project Planning Data or Connected Planning Data as a result of the proposed **Modification**. Note: the data concerned form part of the **Planning Code** and **Data Registration Code**. **Applicants** should refer to these sections of the **Grid Code** for an explanation. Further guidance is available from **The Company** on request.
3. Please notify **The Company** as to whether the **Modification** is associated with a **BELLA/BEGA Application** and if so details of the relevant **BELLA/BEGA Application**.  
  
BELLA/BEGA Agreement Ref: .....  
  
Site of Connection.....
4. Please notify **The Company** as to whether the **Modification** is in respect of a request for a connection to and / or for the use of the **User's Distribution System** from a **Relevant Embedded Medium Power Station** or a **Relevant Embedded Small Power Station**, and therefore relieves the requirement to raise a **Request for a Statement of Works** under 6.5.5.1  
  
Yes / No.....

## **SECTION D. PROGRAMME**

Please provide a suggested development and construction programme in bar chart form for the work necessary to install the **User Development** (not the **Transmission Connection Assets** needing to be installed or, in the case of a **User** undertaking **OTSDUW**, any **OTSUA**) indicating the anticipated date when the connection will be required to be made and any other key dates such as back feed date.

If not already included in the above bar chart please provide details of when the **Applicant** expects to be completing the following relevant phases of the **User Development** or reach the following relevant key milestones below and other additional milestones as necessary (working backwards from expected connection date at 'year 0'). Where applicable this information is expected to provide the anticipated project overview at the time of application:-

- Planning Application Submitted (Town & Country Planning, S36,S37\*)
- Planning Consent Awarded
- Plant Ordered (i.e. **Power Station** or substation)
- Construction Started (site mobilisation)
- Construction Completed

### Notes

- \* The consent for the **User's Power Station** granted under Section 36 of the Electricity Act or planning permission for the **User's Power Station** granted under the Town and Country Planning Act 1990 or any amendment thereto in England and Wales or the Town and Country Planning (Scotland) Act 1997 or any amendment thereto in Scotland

**SECTION E. ENABLING WORKS [CONNECT AND MANAGE POWER STATION ONLY]**

1. We confirm we do not/do want the **Enabling Works** to be greater in scope than the **MITS Connection Works**.
2. If you want the **Enabling Works** to be greater in scope than the **MITS Connection Works** specify the concerns, reasons or technical requirements that you are seeking to address by this.

1. We hereby apply to modify our connection to the **National Electricity Transmission System** at [ ] **Connection Site** [and/or in the case of a **User** undertaking **OTSDUW** [ ] **Transmission Interface Site**].
2. We agree to pay **The Company's** Engineering Charges on the terms specified in the Notes to the **Connection Application**.
3. We will promptly inform **The Company** of any change in the information given in this **Application** as quickly as practicable after becoming aware of any such change.
4. We authorise the release of certain information, on the grounds of commercial confidentiality, to the appropriate **Public Distribution System Operator(s)** or **Relevant Transmission Licensees** should it be considered necessary.
5. We confirm that we do/do not meet **The Company's Credit Rating/Approved Credit Rating**.
6. We confirm our agreement to the disclosure in the manner set out in Paragraph 6.30.3 of **CUSC** of the information specified in such Paragraph.
7. We confirm that this **Modification** is associated with a:

BELLA Application [ ]  
BEGA Application [ ]  
Neither [ ]

SIGNED BY:

.....

For and on behalf of the **Applicant**

Date.....

**END OF EXHIBIT I**